

# Lifshitz dynamics in the UV

with A. O. Barvinsky, D. Blas, G. Perez-Nadal and C. F. Steinwachs

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- Motivation
- H-L gravity
- Heat Kernel Regularization
- The kernel of a Lifshitz operator
- Applications
  - UV corrections
  - Anisotropic Weyl anomalies

What is a Lifshitz field theory?

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For high energy physicist, the important feature is the improved UV regime

$$G(\omega, p^i) \sim \frac{1}{\omega^2 + p^{2z}}$$

## Condensed matter

It explains tri-critical phenomena known as "Lifshitz points"

$$S = \int dt d^D x \left( \frac{1}{2} \partial_t \phi \partial_t \phi + \phi (-\Delta)^z \phi + \alpha_1 \phi (-\Delta)^{z-1} \phi + \dots + \alpha_{z-1} \phi \Delta \phi \right)$$

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The theory can flow from the anisotropic scaling to the isotropic one depending on the RGE of the coupling constants.

$z$  can then be thought as an order parameter.

Lorentz invariance emerges in the IR with a light speed  $c^2 = \alpha_{z-1}$

S. Chadha and H. B. Nielsen, 1982



## Lifshitz Theories in curved space

We are interested in studying Lifshitz field theories in curved space

$$ds^2 = (N^2 - N_i N^i) dt^2 - 2N_i dx^i - \gamma_{ij} dx^i dx^j$$

$$t \rightarrow f(t) \quad x^i \rightarrow \tilde{x}^i(x^j, t)$$

$$S = \int dt d^D x \sqrt{|g|} (\mathcal{L}_n \phi \mathcal{L}_n \phi + \phi (-\Delta)^z \phi + \dots)$$

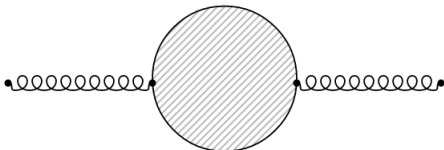
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Can produce gravitational counterterms and phenomena analogous to the Weyl anomaly

## Holography

Lifshitz scalar field theories are conjectured to be holographic duals to Lifshitz space-times

- Massive vector coupled to Einstein-Hilbert gravity in the bulk
- Hořava-Lifshitz gravity in the bulk

S. Kachru, X. Liu and M. Mulligan, 2008

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## Anisotropic Weyl invariance

$$N \rightarrow \Omega^{-z} N \quad \gamma_{ij} \rightarrow \Omega^{-2} \gamma_{ij} \quad \phi \rightarrow \Omega^{\frac{D-z}{2}} \phi \quad zE + T_i^i = 0$$

$$S = \int dt d^D x \sqrt{|g|} (\mathcal{L}_n \phi \mathcal{L}_n \phi + \phi (-\Delta)^z \phi + \dots)$$

For  $z = D$ , this is already invariant

Anisotropic Weyl invariance will be broken at the quantum level by conformal anomalies. Entanglement entropy, general properties of RG flows,...??

## Quantum Gravity

Following this same idea, it is possible to construct an, a priori, renormalizable theory of Quantum Gravity: Hořava-Lifshitz gravity

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The problem with GR can be summarized in a clash between dimensionality and Lorentz invariance

$$[G_n] = -2, \quad G(k) \propto \frac{1}{k^2}$$

Higher loops will produce new divergent counterterms, driving the non-renormalizability of the theory. Modifying the propagator using Lifshitz scaling is then a solution.

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$$S = \frac{1}{16\pi G} \int dt d^3x \sqrt{|g|} (K_{ij} K^{ij} - K^2 + R)$$

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For example, for  $z = D = 2$

$$R^2, \quad R$$

While for  $z = D = 3$

$$R^3, \quad R_{\mu\nu} R^{\mu\nu}, \quad \nabla^2 R, \quad \dots$$

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There are two versions of the theory

- Projectable: The lapse does not depend on the spatial coordinates  $N = N(t)$  and thus can be integrated out by fixing the gauge invariance in the time direction

$$N = 1$$

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- Projectable: The lapse does not depend on the spatial coordinates  $N = N(t)$  and thus can be integrated out by fixing the gauge invariance in the time direction

$$N = 1$$

- Non-projectable: The lapse depends on all the coordinates  $N = N(t, x)$ . Then, the potential must be supplemented with extra terms

$$a_i a^i, \quad \nabla_i a^i, \quad \dots$$

where  $a_i = \frac{\nabla_i N}{N}$ .

D. Blas, O. Pujolas and S. Sibiryakov, 2010

## The action

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$$S = \int dt d^D x N \sqrt{\gamma} \phi \mathcal{D} \phi$$

$$\mathcal{D} = \underbrace{-\mathcal{L}_n^2 - \mathcal{L}_n K}_{\text{Temporal part}} + \underbrace{\mathcal{F}(\nabla_i, \Omega_I) + \Omega_0}_{\text{spatial part}}$$

$$\mathcal{L}_n \phi = \frac{1}{2N} \left( \partial_t - N^i \partial_i \right) \phi \quad (1)$$



## Effective action from the Heat Kernel

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In order to compute it for a riemannian manifold, we assume that there is a base of eigenvectors of  $\mathcal{D}$

$$\mathcal{D}\Psi_i = \lambda_i\Psi_i$$

and write

$$\log(\lambda_i) = -\int_0^\infty \frac{dt}{t} e^{-\lambda_i t} \rightarrow W = -\frac{1}{2} \int_0^\infty \frac{dt}{t} K(t, \mathcal{D})$$

where  $K(t, \mathcal{D}) = \text{Tr}(e^{-t\mathcal{D}})$

## Effective action from the Heat Kernel

We regularize the effective action by shifting the power of the proper time  $s$

$$W_{reg} = -\frac{1}{2}\mu^{2s} \int_0^\infty \frac{dt}{t^{1-s}} K(t, \mathcal{D}) \quad (2)$$

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One can now relate the UV divergences to the asymptotic behavior of the kernel when  $t \rightarrow 0$  and show that

$$W_{ren} = -\frac{1}{2} \log(\mu^2) a_u(\mathcal{D}) \quad (3)$$

where  $a_u$  is certain coefficient in the asymptotic expansion of the heat kernel

$$K(s, \mathcal{D}) \sim \frac{1}{s^u} \sum_n s^n a_n \quad (4)$$

## The short-time expansion

For relativistic operators of the form

$$\mathcal{D} = -\nabla^2 - E$$

the short time expansion is well known

$$K(s, \mathcal{D}) = \frac{1}{(4\pi s)^{d/2}} \sum_n s^{n/2} a_n$$

$$a_0 = \int d^d x \sqrt{|g|}$$

$$a_2 = \frac{1}{6} \int d^d x \sqrt{|g|} \operatorname{Tr}(R + 6E)$$

$$a_4 = \frac{1}{360} \int d^d x \sqrt{|g|} \operatorname{Tr}(60\Box E + 60RE + 180E^2 + 12\Box R + 5R^2 -$$

$$- 2R_{\mu\nu}R^{\mu\nu} + 2R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma} + 30\hat{R}_{\mu\nu}\hat{R}^{\mu\nu})$$

## The short-time expansion

- In the case of non-minimal operators, there are techniques available

A. O. Barvinsky and G. A. Vilkovisky, 1985

It stands schematically for applying BCH lemma

$$e^{A+B} \sim \mathcal{M}e^A e^B \quad (5)$$

- For higher order operators, one needs to combine this with the following lemma

$$a_k(\mathcal{O}^n) = \frac{1}{n} \lim_{\epsilon \rightarrow 0} \left[ \Gamma\left(\frac{d-k+\epsilon}{2}\right)^{-1} \Gamma\left(\frac{d-k+\epsilon}{2n}\right) \right] a_k(\mathcal{O})$$

P. Gilkey, 1985

## The short-time expansion

$$K(s, \mathcal{D}) = \text{Tr}(f e^{-s\mathcal{D}}) = \frac{1}{s^\alpha} \sum_{n=0}^{\infty} s^{\frac{n}{2\beta}} a_n(f, \mathcal{D})$$

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The leading divergence is obtained by combining two techniques

- The highest momenta operators appearing in an anisotropic operator are

$$\mathcal{D}_l = \omega^2 - p^{2z}$$

and by using dimensionless variables

$$\tilde{\omega} = \omega/s^{1/2}, \quad \tilde{p} = p/s^{z/2}$$

one has

$$K(s, \mathcal{D}_l) \sim \frac{1}{s^{\frac{1}{2}(1+D/z)}}$$



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The leading divergence is obtained by combining two techniques

- By choosing a separable spacetime

$$\mathcal{M} = \mathcal{M}_1 \otimes \mathcal{M}_D \longrightarrow e^{-s\mathcal{D}} \sim e^{-s\mathcal{D}_1} e^{-s\mathcal{D}_D}$$

one finds

$$K(s, \mathcal{D}) = \text{Tr}(f e^{-s\mathcal{D}}) = \frac{1}{(4\pi s)^{\frac{1}{2}(1+D/z)}} \sum_{n=0}^{\infty} s^{\frac{n}{2z}} a_n(f, \mathcal{D}) \quad (6)$$

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where the coefficients  $a_n$  are constrained by simple relations.

$$\begin{aligned} [N] &= z, & [\gamma_{ij}] &= 2, & [\gamma^{ij}] &= -2 \\ [\nabla_i] &= 0, & [\mathcal{L}_t] &= -z, & [a_i] &= 0 \end{aligned}$$

$$2\Delta\gamma - d = 0$$

$$-zL - 2\Delta\gamma = -(D + z)$$

$$L \equiv \text{even}$$

where

- $L \equiv (\# \text{ of } \mathcal{L}_t)$ ,  $\Delta\gamma = (\# \text{ of } \gamma^{ij}) - (\# \text{ of } \gamma_{ij})$ ,  $d = (\# \text{ of } \nabla_i)$

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- The number of Lie derivatives for  $z > D$  is constrained to  $L = 0, 2$
- For  $z > D$  the counterterm (anomaly) is given only by the spatial part of the action



## The critical coefficient

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$$\mathcal{D}_x = N^{-\frac{D+3z}{2z}} \left[ \sum_{l=0}^z \Omega_l \left( N^{\frac{D}{z}} \Delta' \right)^l \right] N^{-\frac{z-D}{2z}}, \quad \Delta' = \nabla_i \left( N^{\frac{2-D}{z}} \nabla^i \right)$$

For  $z > D$  there is no Lie derivatives in the critical coefficient

$$a_{z+D} = \int dt d^D x N \sqrt{\gamma} \sum_i C_i \mathcal{L}^I (R_{ijkl}, a_i, \nabla_i)$$

## The critical coefficient

$$a_{z+D} = \int dt d^D x N \sqrt{\gamma} \sum_i C_i \mathcal{I}^I(R_{ijkl}, a_i, \nabla_i) = \int dt a_{z+D}(\mathcal{D}_x)$$

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$$e^{-s\mathcal{D}_x} \sim \exp \left[ -s \sum_{l=0} \Omega_l \left( N^{\frac{D}{z}} \Delta' \right)^l \right] \sim \exp \left[ -s \sum_{l=0} \Omega_l \tilde{\Delta}^l \right]$$

$$\tilde{\Delta} = N^{\frac{D}{z}} \Delta' = N^{\frac{2}{z}} \left( \Delta + \frac{2-D}{z} \frac{\nabla_i N}{N} \nabla^i \right)$$

this is just a conformal transformation of the standard laplacian

$$\gamma'_{ij} = N^{-\frac{2}{z}} \gamma_{ij}$$

## The critical coefficient

In the case of  $z = D$  things are slightly different.

$$a_{z+D} = \int dt d^D x N \sqrt{\gamma} \left( C_0 K_{ij} K^{ij} + C_1 K^2 + C_2 \mathcal{L}_t K \right) + \\ + \int dt d^D x N \sqrt{\gamma} \sum_i C_i \mathcal{I}^I (R_{ijkl}, a_i, \nabla_i)$$

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To fix  $C_0$ ,  $C_1$  and  $C_2$  we use a technique developed by Solodukhin and Nesterov, relying in the BCH formula

$$e^{-s\mathcal{D}_t - s\mathcal{D}_x} \sim \mathcal{M} e^{-s\mathcal{D}_t} e^{-s\mathcal{D}_x}$$

where  $\mathcal{M}$  contains comutators.

S. Solodukhin and D. Nesterov, 2010

The other pieces are fixed by going to a spacetime in which  $K_{ij} = 0$ . There, we have the same situation as with  $z > D$ .

## The critical coefficient

And we end up with

$$\begin{aligned}
 a_{2z}(f, \mathcal{D}) = & -\frac{1}{(4\pi)^{\frac{1+D}{2}}} \int dt d^D x N \sqrt{\gamma} \left( \frac{1}{2(D+2)} \frac{\sqrt{\pi}}{\Gamma\left(\frac{D}{2}\right)} \left[ K_{\mu\nu} K^{\mu\nu} - \frac{1}{D} K^2 \right] + \right. \\
 & \left. + \frac{\sqrt{\pi}}{3z\Gamma\left(\frac{D}{2}\right)} (\mathcal{L}_t K + K^2) \right) + \frac{1}{(4\pi)^{\frac{1}{2}}} \int dt a_{2z}(\tilde{\mathcal{D}}_x) \Big|_{\gamma'_{ij} = N^{-\frac{2}{z}} \gamma_{ij}}
 \end{aligned}$$



## So now what?

- We can study the UV structure of the general Lifshitz theory

$$S = \int dt d^D x N \sqrt{\gamma} (\mathcal{L}_t \phi \mathcal{L}_t \phi + \phi \Delta^z \phi)$$

- We can also address the issue of Anisotropic Weyl Anomalies

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The critical coefficient will take the form

$$a_{D+z}(f, \mathcal{D}) = - \frac{\delta_{D-z}}{(4\pi)^{\frac{1+D}{2}}} \int dt d^D x N \sqrt{\gamma} \left( \frac{1}{2(D+2)} \frac{\sqrt{\pi}}{\Gamma\left(\frac{D}{2}\right)} \left[ K_{\mu\nu} K^{\mu\nu} - \frac{1}{D} K^2 \right] + \right. \\ \left. + \frac{\sqrt{\pi}}{3z\Gamma\left(\frac{D}{2}\right)} (\mathcal{L}_t K + K^2) \right) + \frac{1}{(4\pi)^{\frac{1}{2}}} \int dt a_{D+z}(\tilde{\mathcal{D}}_x) \Big|_{\gamma'_{ij} = N^{-\frac{z}{2}} \gamma_{ij}}$$

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And by Gilkey's lemma

$$a_{D+z}(\Delta^z) = \frac{1}{z} \lim_{\epsilon \rightarrow 0} \left[ \Gamma\left(\frac{-z+\epsilon}{2}\right)^{-1} \Gamma\left(\frac{-z+\epsilon}{2z}\right) \right] a_{D+z}(\Delta)$$

which vanishes unless  $z \equiv \text{odd}$ .

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For even  $D$ , it is only possible to construct a counterterm if  $z \equiv \text{even}$ .  
The critical coefficient will take the form

$$a_{D+z}(f, \mathcal{D}) = - \frac{\delta_{z-D}}{(4\pi)^{\frac{1+D}{2}}} \int dt d^D x N \sqrt{\gamma} \left( \frac{1}{2(D+2)} \frac{\sqrt{\pi}}{\Gamma\left(\frac{D}{2}\right)} \left[ K_{\mu\nu} K^{\mu\nu} - \frac{1}{D} K^2 \right] + \right. \\ \left. + \frac{\sqrt{\pi}}{3z\Gamma\left(\frac{D}{2}\right)} (\mathcal{L}_t K + K^2) \right)$$

For  $z > D$  they are *finite*.

## So now what?

- We can address Anisotropic Weyl anomalies
- Let us do it for  $D = z = 2$   
Some works argued whether there was presence or not of Ricci curvatures in the anomaly
  - Some said there were not
  - But other authors showed that it appears for some particular choice of the action
- With our technique we can address the question in a general setting

M. Baggio, J. de Boer and K. Holsheimer, 2011

T. Griffin, P. Horava and C. M. Melby-Thompson, 2012

$$S = \int dt d^D x N \sqrt{\gamma} \phi \left( -\mathcal{L}_t^2 - \mathcal{L}_t K + \frac{1}{N^2} (N\Delta)^2 + \alpha_1 R^{(W)} \Delta + \right. \\ \left. + \alpha_2 \left( R^{(W)} \right)^2 + \eta \left( K_{ij} K^{ij} - \frac{1}{D} K^2 \right) \right) \phi$$

where  $R^{(W)} = R + \frac{2(D-1)}{z} \nabla_i a^i - \frac{(D-2)(D-1)}{z^2} a_i a^i$ .

## So now what?

- We can address Anisotropic Weyl anomalies

Anomalies will be also given by the Heat Kernel critical coefficient

$$\delta W = -z a_{D+z}(\omega, \mathcal{D})$$

## So now what?

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$$a_4 = \frac{1}{8\pi} \int dt d^2 x N \sqrt{\gamma} \omega \left[ \frac{1}{2} \left( \frac{\alpha_1^2}{4} - \alpha_2 \right) \left( R^{(W)} \right)^2 - \frac{1}{2} \left( \eta + \frac{1}{4} \right) \left( K_{ij} K^{ij} - \frac{1}{2} K^2 \right) + \right. \\ \left. - \frac{1}{6} \left( \mathcal{L}_t K + K^2 \right) \right]$$

This reproduces all the previous results and gives an insight about the presence of Ricci curvature.



## So now what?

$$a_4 = \frac{1}{8\pi} \int dt d^2x N \sqrt{\gamma} \omega \left[ \frac{1}{2} \left( \frac{\alpha_1^2}{4} - \alpha_2 \right) \left( R^{(W)} \right)^2 - \frac{1}{2} \left( \eta + \frac{1}{4} \right) \left( K_{ij} K^{ij} - \frac{1}{2} K^2 \right) + \right. \\ \left. - \frac{1}{6} \left( \mathcal{L}_t K + K^2 \right) \right]$$

This has dramatic consequences for holography

- Massive vector in Einstein gravity  $\rightarrow$  No  $R^2$  term
- There are two possible solutions
  - Add matter to bulk theory
  - Use Horava-Lifshitz gravity
  - Are both options the same???

## So now what?

In arbitrary  $D$  and  $z$

$$S = \int dt d^D x N \sqrt{\gamma} \left\{ \mathcal{L}_t \phi \mathcal{L}_t \phi + \frac{1}{4} \left( 1 - \frac{z^2}{D^2} \right) \phi^2 K^2 + \frac{1}{2} \left( 1 - \frac{z}{D} \right) \phi^2 \mathcal{L}_t K + \right. \\ \left. + \eta \phi^2 \left( K_{\mu\nu} K^{\mu\nu} - \frac{1}{D} K^2 \right) + \phi \prod_{i=0}^{z-1} \left( \alpha_i \hat{\Delta} + \beta_i R^{(W)} \right) \phi \right\}$$

For either  $\beta_i = 0$  or  $\alpha_i = \lambda \beta_i$ , we have that the spatial part is always of the form  $\mathcal{O}^z$  so we conclude

- For even  $D$  and  $z > D$  there is no anomaly.
- For even  $D$  and  $D = z$  the anomaly is proportional to  $K_{ij} K^{ij} - \frac{1}{D} K^2$

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### For the future

- Entanglement entropy?

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### For the future

- Entanglement entropy?
- Application to non-scalar matter. Horava-Lifshitz gravity.