From atomic nuclei to neutrinos and dark matter

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1 Nuclear structure with chiral effective field theory

2 Matrix elements for $\beta\beta$ decay

3 Dark matter scattering off nuclei

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Nuclear landscape

The goal of nuclear physics is a unified description of nuclear structure, across the nuclear chart and based on nuclear forces



Limits of existence, ground-state properties, shell evolution, excitation spectra, spectroscopy, shape coexistence, β decays, fission... Connect to underlying theory of strong interactions: QCD

Lattice QCD

QCD non-perturbative at low energies relevant for nuclear structure Lattice QCD solves the QCD Lagrangian in discretized space-time Lattice



Nuclear potentials, and lightest nuclei and hypernuclei solved at non-physical pion mass $m_{\pi} \sim 400 - 800$ MeV, ongoing improvements

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Effective theory for nuclear structure

Effective theory: approximation of the full theory valid at relevant scales

Exploit chiral symmetry: pions, protons and neutrons degrees of freedom of the theory

Expansion in terms of small parameter: typical scale $\sim m_\pi$ / breakdown scale Λ

Physics resolved at relevant energies explicit (pion-exchanges)

Unresolved physics encoded in contact terms (Low Energy Couplings)



Chiral EFT: low energy approach to QCD, nuclear structure energies Approximate chiral symmetry: pion exchanges, contact interactions Systematic expansion: nuclear forces and electroweak currents



Weinberg, van Kolck, Kaplan, Savage, Epelbaum, Kaiser, Meißner...

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Three-nucleon forces

3N forces known for a long time (also 2b currents) Fujita and Miyazawa PTP17 (1957), Towner Phys. Rep. 155 (1987)...

3N forces originate in the elimination of degrees of freedom (N-body forces appear in any effective theory) Bogner, Schwenk, Furnstahl PPNP65 94 (2010)



Difficult to constrain directly

 \Rightarrow Chiral EFT, in a natural and systematic manner, treats 3N forces consistent with NN forces (same for 2b and 1b currents)

3N forces can explain the great success of the phenomenological shell model Brown, Caurier, Nowacki, Otsuka, Poves, Zuker

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Oxygen dripline in ab-initio calculations

Oxygen dripline including chiral NN+3N forces correctly reproduced confirmed in ab-initio calculations by different approaches, treating explicitly all nucleons as degrees of freedom

- No-core shell model (Importance-truncated)
- In-medium SRG Hergert et al. PRL110 242501 (2013)
- Self-consistent Green's function Cipollone et al. PRL111 062501 (2013)
- Coupled-cluster Jansen et al. PRL113 142502 (2014)





Nuclear shell model



Many-body perturbation theory to generate H_{eff}

Single Particle Energies

Nuclear shell model configuration space only keep essential degrees of freedom

- Outer orbits: always empty
- Valence space: where many-body problem is solved
- Inner core: always filled

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angle & o H_{eff} \left| \Psi
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angle_{eff} = E \left| \Psi
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angle_{eff} &= \sum_{lpha} c_{lpha} \left| \phi_{lpha}
ight
angle, & \left| \phi_{lpha}
ight
angle &= a_{i1}^+ a_{i2}^+ ... a_{iA}^+ \left| 0
ight
angle \end{aligned}$$

Two-Body Matrix Elements

Shell model codes diagonalize up to $\sim 10^{10}$ Slater det's Caurier *et al.* RMP 77 (2005)

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Medium-mass nuclei and theoretical uncertainties

The shell model permits to extend the study to medium-mass (sd-shell) nuclei



Explore the theoretical sensitivity: Initial chiral Hamiltonian RG evolution of NN, 3N forces Convergence in MBPT

Use Hamiltonians with good nuclear saturation properties Hebeler et al. PRC 83 031301 (2011)

Magnesium ground-state energies underbound, Chlorine good agreement to experiment

Uncertainties dominated by initial nuclear Hamiltonian

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Calcium isotopes with NN+3N forces

Calculations with NN+3N forces predict shell closures at ⁵²Ca, ⁵⁴Ca



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Nuclear structure with chiral effective field theory





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Neutrinoless $\beta\beta$ decay, dark matter detection



Dark matter scattering off nuclei What is dark matter made of?

Neutrinoless double-beta decay

Lepton number violation Majorana / Dirac nature of neutrinos Neutrino masses and hierarchy



Nuclear physics and fundamental symmetries

Neutrinos, Dark Matter can be studied with high-energy experiments

Nuclear physics offers an alternative: Nuclei are abundant in huge numbers $N_A = 6.02 \ 10^{23}$ nuclei in A grams!

Lots of material over long times provides access to detect very rare decays and very small cross-sections!

Isolate from other processes: very low background (underground)





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Nuclear matrix elements

Nuclear matrix elements are needed to study fundamental symmetries

$$\langle \mathsf{Final} | \mathcal{L}_{\mathsf{leptons-nucleons}} | \mathsf{Initial} \rangle = \langle \mathsf{Final} | \int dx \, j^{\mu}(x) J_{\mu}(x) | \mathsf{Initial} \rangle$$

- Nuclear structure calculation of the initial and final states: Ab initio, shell model, energy density functional...
- Lepton-nucleus interaction:

Evaluate (non-perturbative) hadronic currents inside nucleus: phenomenology, effective theory



Neutrinoless double-beta decay

Lepton-number violation, Majorana nature of neutrinos

Second order process only observable if single- β -decay is energetically forbidden or hindered by large ΔJ





Lifetime limits: ⁷⁶Ge (GERDA), ¹³⁶Xe (EXO, KamLAND) $T_{1/2}^{0\nu\beta\beta} > 10^{25}$ y!

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 $0\nu\beta\beta$ process needs massive Majorana neutrinos ($\nu = \bar{\nu}$), but several mechanisms mediating the decay are possible

$$\left(T_{1/2}^{0\nu\beta\beta}\left(0^{+}\rightarrow0^{+}\right)\right)^{-1}=\sum_{i}G_{i}\left|M_{i}^{0\nu\beta\beta}\right|^{2}\left(\eta_{i}\right)^{2}$$

 G_i is the phase space factor: $Q_{\beta\beta}$, leptons...

 $M_i^{0\nu\beta\beta}$ is the nuclear matrix element

 η_i describes Beyond Standard Model physics

Exchange of Standard Model neutrinos, sterile neutrinos ($\eta \sim m_{\nu}$), right-handed currents ($\eta \sim$ mass of exchange boson W_R , mixing to W_L), exchange of supersymmetric particles ($\eta \sim$ LNV couplings)





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Exchange of Standard Model neutrinos

The decay lifetime is

$$\left(T_{1/2}^{0\nu\beta\beta}\left(0^{+}\rightarrow0^{+}\right)\right)^{-1}=G_{01}\left|M^{0\nu\beta\beta}\right|^{2}\left(\frac{m_{\beta\beta}}{m_{e}}\right)^{2}$$

sensitive to absolute neutrino masses, $m_{\beta\beta} = |\sum U_{ek}^2 m_k|$, and hierarchy



Compete with single- β decay $(\sqrt{\sum |U_{ek}|^2 m_k^2})$ and cosmology $(\sum m_k)$

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Neutrinoless $\beta\beta$ decay operator

The matrix element is $M^{0\nu\beta\beta} = \langle \mathbf{0}_{f}^{+} | \sum_{n,m} \tau_{n}^{-} \tau_{m}^{-} \sum_{X} H^{X}(r) \Omega^{X} | \mathbf{0}_{i}^{+} \rangle$

- $\tau_n^- \tau_m^-$ transform two neutrons into two protons
- Ω^{X} is the spin structure: Fermi (1), Gamow-Teller ($\sigma_{n}\sigma_{m}$), Tensor $\left[Y^{2}(\hat{r}) [\sigma_{n}\sigma_{m}]^{2}\right]^{0}$
- H(r) is the neutrino potential, depends on m_{ν}

$$H^{X}(r) = \frac{2}{\pi} \frac{R}{g_{A}^{2}(0)} \int_{0}^{\infty} f^{X}(pr) \frac{h^{X}(p^{2})}{\left(\sqrt{p^{2} + m_{\nu}^{2}}\right) \left(\sqrt{p^{2} + m_{\nu}^{2}} + \langle E^{m} \rangle - \frac{1}{2} \left(E_{i} - E_{f}\right)\right)} p^{2} dp \sim \frac{R}{r}$$

 $2\nu\beta\beta$ decay: momentum transfer limited by $Q_{\beta\beta}$ $0\nu\beta\beta$ decay: larger momentum transfers, $p \sim 100 - 200$ MeV, set by typical distance between the two decaying nucleons



Neutrinoless $\beta\beta$ decay matrix elements

Large difference in matrix element calculations, same transition operator



EDF, IBM, QRPA large matrix elements: How well they include nuclear structure correlations?

Shell model small matrix elements: What is the effect of the small valence space?

Test of nuclear structure

Nuclear spectroscopy well reproduced by (phenomenological) calculations: masses, excitation energies, transitions, knockout reactions...



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Shell model configuration space

For ⁴⁸Ca, enlarging the configuration space from *sd* to *sdpf* (4 to 7 orbitals) increases matrix elements only moderately 30% Iwata et al. PRL accepted





The contributions dominated by pairing (2h-2h) excitations enhance the $\beta\beta$ matrix element, but the contributions dominated by 1p-1h excitations suppress the $\beta\beta$ matrix element

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$0\nu\beta\beta$ decay without correlations

Non-realistic spherical (uncorrelated) mother and daughter nuclei:

- Shell model (SM): zero seniority, neutron and proton J = 0 pairs
- Energy density functional (EDF): only spherical contributions



In contrast to full (correlated) calculation SM and EDF NMEs agree!

NME scale set by pairing interaction

JM, Rodríguez, Martínez-Pinedo, Poves PRC90 024311(2014)

NME follows generalized seniority model:

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 $M_{GT}^{0\nu\beta\beta} \simeq \alpha_{\pi} \alpha_{\nu} \sqrt{N_{\pi} + 1} \sqrt{\Omega_{\pi} - N_{\pi}} \sqrt{N_{\nu}} \sqrt{\Omega_{\nu} - N_{\nu} + 1}, \text{ Barea, lachello PRC79 044301(2009)}$

Pairing and $0\nu\beta\beta$ decay

 $0\nu\beta\beta$ decay very sensitive to pairing Matrix elements too large if too many proton-proton, nucleon-nucleon pairs or if proton-neutron correlations are neglected



Approximate SU(4) symmetry of the $\sum H(r)\sigma_i\sigma_j\tau_j\tau_j$ operator, $\Rightarrow M^{GT} \sim 0$ Mixing of irreps in mother, daughter due to H(r), nuclear interaction

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Weak transitions in nuclei: quenching

 β and $\beta\beta$ decay processes driven by Weak interaction

$$\mathcal{L}_{W}=rac{G_{F}}{\sqrt{2}}\left(j_{L\mu}J_{L}^{\mu\dagger}
ight)+H.c.$$

 $j_{L\mu}$ leptonic current (electron, neutrino) $J_L^{\mu\dagger}$ hadronic current (nucleons)





For agreement theory needs to "quench" Gamow-Teller operator

$$\langle F | \sum_{i} g_{A}^{\mathrm{eff}} \sigma_{i} \tau_{i}^{-} | l \rangle, \quad g_{A}^{\mathrm{eff}} pprox 0.7 g_{A}$$

Martinez-Pinedo et al. PRC53 2602(1996)

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Chiral EFT: low energy approach to QCD, nuclear structure energies Approximate chiral symmetry: pion exchanges, contact interactions Systematic expansion: nuclear forces and electroweak currents



Weinberg, van Kolck, Kaplan, Savage, Epelbaum, Kaiser, Meißner...

fitted to experiment once

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Hadronic weak currents in chiral EFT



At order *Q*³ chiral EFT 2b currents predicted

Reflect interactions between nucleons in nuclei Long-range currents dominate



$$\mathbf{J}_{12}^{3} = -\frac{g_{\mathsf{A}}}{4F_{\pi}^{2}}\frac{1}{m_{\pi}^{2}+k^{2}}\left[2c_{\mathsf{4}}\mathbf{k}\times(\boldsymbol{\sigma}_{\times}\times\mathbf{k})\tau_{\times}^{3}+4c_{\mathsf{3}}\mathbf{k}\cdot(\boldsymbol{\sigma}_{1}\tau_{1}^{3}+\boldsymbol{\sigma}_{2}\tau_{2}^{3})\mathbf{k}\right]$$

2b currents in light nuclei

2b currents (meson-exchange currents) tested in light nuclei:

 ^{3}H β decay Gazit et al. PRL103 102502(2009)

 $A \le 9$ magnetic moments ⁸Be EM transitions Pastore et al. PRC87 035503(2013) Pastore et al. PRC90 024321(2014)

 $^{3}\text{H}~\mu$ capture Marcucci et al. PRC83 014002(2011)



In medium-mass nuclei, chiral EFT 1b + 2b currents (normal ordering)



2b currents in medium-mass nuclei



2b currents predict g_A quenching q = 0.85...0.66Quenching reduced at p > 0, relevant for $0\nu\beta\beta$ decay where $p \sim m_{\pi}$

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Nuclear matrix elements with 1b+2b currents



Smaller quenching q = 0.96...0.92 Ekström et al. PRL113 262504 (2014) Coupled-Cluster calculations of lighter ¹⁴C, ²²O and ²⁴O 2b currents normal-ordered with respect to Hartree-Fock state

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Dark matter: evidence



Solid evidence of dark matter in very different observations:

Rotation curves, Lensing, CMB... Zwicky 1930's, Rubin 1970's..., Planck 2010's



What is dark matter made of?

The composition of dark matter is unknown High-energy physics: candidates proposed beyond Standard Model

- Weakly interacting massive particles (WIMPs)
- Sterile neutrinos
- Axions
- Gravitons



Lightest supersymmetric particles (usually neutralinos) predicted in SUSY extensions of the Standard Model



Expected WIMP-density agrees with observed dark matter density

WIMP scattering off nuclei

- The challenge is direct dark matter detection
- WIMPs interact with quarks \Rightarrow nuclei
- Direct detection experiments: XENON100, LUX nuclear recoil from WIMP scattering off nuclei sensitive to dark matter masses \gtrsim 1 GeV
- WIMPs couple to the nuclear density
- For elastic scattering, coherent sum over nucleons and protons in the nucleus



WIMP spins couple to the nuclear spin

Pairing interaction: Two spins couple to S = 0Only relevant in stable odd-mass nuclei





CDMS Collaboration

WIMP-nucleon interactions

The leading-order WIMP-nucleus interaction predicted by chiral EFT is

Coupling to nuclear density: scalar-scalar (spin-independent) Coupling to the spin: axial-axial (spin-dependent)

$$\mathcal{L}_{\chi}^{\mathrm{SI}} + \mathcal{L}_{\chi}^{\mathrm{SD}} = \frac{G_{F}}{\sqrt{2}} \int d^{3}\mathbf{r} \left[j(\mathbf{r}) S(\mathbf{r}) + j^{\mu}(\mathbf{r}) J_{\mu}^{\mathcal{A}}(\mathbf{r}) \right]$$

 $j(\mathbf{r}) = \bar{\chi}\chi = \delta_{s_f s_i} e^{-\prime \mathbf{qr}}$ $S(\mathbf{r}) = c_0 \sum_{i=1}^{A} \delta^3(\mathbf{r} - \mathbf{r}_i)$ hadronic scalar current

leptonic (WIMP) scalar current

 $j^{\mu}(\mathbf{r}) = \overline{\chi} \boldsymbol{\gamma} \gamma_5 \chi \, \boldsymbol{e}^{-i\mathbf{q}\mathbf{r}}$ leptonic (WIMP) axial current $J_{\mu}^{A}(\mathbf{r}) = \sum_{i=1}^{A} J_{\mu i}^{A}(\mathbf{r}) \delta^{3}(\mathbf{r} - \mathbf{r}_{i})$ hadronic axial current

Matrix element of the dark matter scattering: structure factor

$$\frac{d\sigma}{dq^2} = \frac{8G_F^2}{(2J_i+1)v^2}S(q), \quad S(q) = \frac{1}{4\pi G_F^2}\sum_{s_f,s_i}\sum_{M_f,M_i}\left|\langle J_f M_f | \mathcal{L}_{\chi}^{\rm SI} + \mathcal{L}_{\chi}^{\rm SD} | J_i M_i \rangle\right|^2$$

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Spin-independent structure factor for ¹³⁰Xe

Coherent response at p = 0, lost at finite momentum transfers

$$S_{S}(q) = \sum_{L=0}^{\infty} \left| \langle J_{f} \| c_{0} \sum_{i=1}^{A} j_{L}(qr_{i}) Y_{L}(\mathbf{r}_{i}) \| J_{i} \rangle \right|^{2} \rightarrow_{q \rightarrow 0} \frac{c_{0}^{2}}{4\pi} (2J+1) A^{2},$$



Plot as function of dimensionless $u = p^2 b^2/2$ b harmonic oscillator length

Only low-momentum transfers up to $u \sim 2$ relevant for present experiments

Not very sensitive to nuclear structure details: similar results with model constant density + gaussian surface

Vietze, Klos, JM, Haxton, Schwenk PRD91 043520 (2015)

Outlook: which are the leading corrections? Vector-vector interactions?

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Spin-dependent hadronic currents

Calculate axial hadronic currents Derive predicted currents within chiral EFT (similar to Weak transitions)

At lowest orders Q^0 and Q^2 in chiral EFT, 1b currents



Isoscalar and isovector (distinguish neutrons and protons) components Isovector components have axial (dominant) and pseudoscalar term

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Spin-dependent 2b currents

Leading Q^3 correction: 2b currents

Approximate in medium-mass nuclei: normal-ordered 1b part with respect to spin/isospin symmetric Fermi gas

$$\mathbf{J}_{12}^{3} = -\frac{g_{A}}{4F_{\pi}^{2}} \frac{1}{m_{\pi}^{2} + k^{2}} \left[2\left(c_{4} + \frac{1}{4m}\right) \mathbf{k} \times (\sigma_{\times} \times \mathbf{k}) \tau_{\times}^{3} + 4c_{3}\mathbf{k} \cdot (\sigma_{1}\tau_{1}^{3} + \sigma_{2}\tau_{2}^{3}) \mathbf{k} - \frac{i}{m}\mathbf{k} \cdot (\sigma_{1} - \sigma_{2})\mathbf{q}\tau_{\times}^{3} \right]$$

The leading (long-range) normal-ordered two-body currents are

$$\mathbf{J}_{i,2b}^{\text{eff}} = \sum_{\sigma_j}^{FG} \sum_{\tau_j}^{FG} \int \frac{p_j^2 dp_j}{(2\pi)^3} \, \mathbf{J}_{i,j,2b} \left(1 - P_{ij}\right)$$
$$\mathbf{J}_{i,2b}^{\text{eff}} = -g_A \frac{\tau_i^3}{2} \frac{\rho}{F_\pi^2} \, l(\rho, P = 0) \left(\frac{1}{3}(2c_4 - c_3)\right) \sigma_i = -g_A \frac{\tau_i^3}{2} \delta a_1 \sigma_i$$
$$\mathbf{J}_{i,2b}^{\text{eff}, P} = -g_A \frac{\tau_i^3}{2} \frac{\rho}{F_\pi^2} 2c_3 \frac{1}{4m_\pi^2 + \rho^2} (\mathbf{p} \cdot \sigma_i) \mathbf{p} = -g_A \frac{\tau_i^3}{2} \frac{\delta a_1^P(\rho^2)}{\rho^2} (\mathbf{p} \cdot \sigma_i) \mathbf{p}$$

Renormalize isovector couplings: reduce axial and enhance pseudoscalar

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SD Structure Factors with 1b+2b currents



Neutrons carry most nuclear spin Couplings sensitive more to protons ($a_0 = a_1$) or neutrons ($a_0 = -a_1$) $S(0) \propto \left|rac{a_0+a_1}{2}\langle S_p
angle + rac{a_0-a_1}{2}\langle S_n
angle
ight|^2$ 2b currents involve neutrons + protons: Neutrons always contribute with 2b

currents, dramatic increase in $S_p(u)$

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SD Structure Factors with 1b+2b currents



In ^{129,131}₅₄Xe $\langle S_n \rangle \gg \langle S_p \rangle$, Neutrons carry most nuclear spin Couplings sensitive more to protons $(a_0 = a_1)$ or neutrons $(a_0 = -a_1)$ $S(0) \propto \left| \frac{a_0 + a_1}{2} \langle S_p \rangle + \frac{a_0 - a_1}{2} \langle S_n \rangle \right|^2$

2b currents involve neutrons + protons:



Neutrons always contribute with 2b currents, dramatic increase in $S_p(u)$

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Application to experiment: LUX, XENON100



Our calculations used by LUX and XENON100 Collaborations to set limits on WIMP-nucleon cross-sections

LUX obtained world best limits for spin-dependent scattering with "neutron" couplings

For "proton" couplings LUX experiment is also competitive due to the effect of 2b currents

LUX Collaboration arXiv:1602.03489

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Inelastic scattering?

Can dark matter scatter exciting the nucleus to the first excited state?



Very low-lying first-excited states \sim 40, 80 keV

If WIMPs have enough kinetic energy inelastic scattering possible

$$\boldsymbol{p}_{\pm} = \mu \boldsymbol{v}_i \left(1 \pm \sqrt{1 - \frac{2\boldsymbol{E}^*}{\mu \boldsymbol{v}_i^2}} \right)$$

Spin-dependent inelastic WIMP scattering

Inelastic structure factors compete with elastic at $p \sim 150$ MeV, in the kinematically allowed region



Baudis et al. PRD88 115014 (2013)

Inelastic scattering \Rightarrow spin coupling Density coupling suppressed: coherence of all nucleons lost



Integrated spectrum for xenon shows expected signal from inelastic scattering including the gamma from excited state decay

One plateau per excited state

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Summary

Shell Model calculations based on chiral effective field theory including NN+3N forces and many-body perturbation theory

- 3N forces explain dripline in O, shell evolution in Ca, spectroscopy
- Theoretical uncertainties: initial Hamiltonian dominates many-body approach, limit predictive power of calculations

Neutrinoless double-beta decay key process to understand Majorana neutrino character and neutrino absolute mass and hierarchy

- Shell Model matrix elements smaller than other approaches, enlarging the configuration space moderate 30% increase in ⁴⁸Ca
- Correlations (deformation, proton-neutron pairing) have strong impact on (reducing) matrix elements
- 2b currents, analogue of 3N forces, modify nuclear matrix elements

WIMP scattering off nuclei for direct dark matter detection experiments

- Spin-Independent response coherent enhancement, no inelastic signal
- Spin-Dependent case sensitive to nuclear structure and 2b currents

Collaborators







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